

9-21-01  
2812

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Tomoe YAMAMOTO

Appin. No.: 09/450,351

: Art Unit: 2812

Filed: November 29, 1999

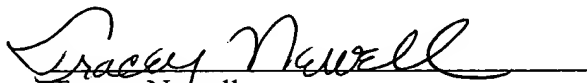
: Examiner: H. TSAI

For: METHOD FOR MANUFACTURING  
A SEMICONDUCTOR DEVICE

: Docket No.: SHM-00901

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on September 14, 2001.

  
Tracey Newell

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants and/or their attorney in compliance with the requirements of 37 C.F.R. 1.56. Copies of the documents are also being submitted.

Reference BL submitted herewith was cited by the Korean Patent Office in a July 27, 2001 Office Action (copy enclosed). Since this Information Disclosure Statement is being filed within three months of that date, and prior to the mailing of a Final Office Action or Notice of Allowance, no fee is due. Reference BM is the Japanese counterpart of the reference BL.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most

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knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of the official action by the foreign examiner in which the references were cited. A copy of the official action is enclosed. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application corresponding to the above-captioned U.S. patent application. However, no independent analysis of the references, the accuracy of the statements of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application have been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

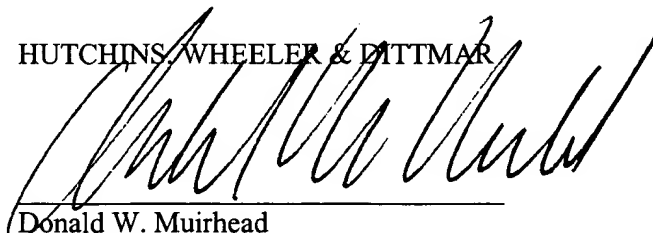
The Examiner is respectfully requested to initial the space adjacent to each document on the PTO-1449 form and return a copy of the PTO-1449 form to confirm that these documents have been considered by the Examiner and made of record in this application.

Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to/from our **Deposit Account No. 501136**. Two originally-executed copies of this form are being submitted.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at (617) 951-6661.

Respectfully submitted,

HUTCHINS, WHEELER & DITTMAR

A large, stylized handwritten signature in dark ink, likely belonging to Donald W. Muirhead, is written over the printed name and firm name.

Donald W. Muirhead  
Registration No. 33,978

September 14, 2001

Date

Patent Group  
Hutchins, Wheeler & Dittmar  
101 Federal Street  
Boston, Massachusetts 02110